

**Form #E-12**

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Board or State Association

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Address

City

State

Zip

**Action of the Board of Directors (Ethics Hearing)**

**For use by the Board of Directors if no appeal has been filed to the Hearing Panel's decision:**

The decision of the Hearing Panel in the matter of \_\_\_\_\_ vs. \_\_\_\_\_  
Complainant Respondent

dated \_\_\_\_\_, 20\_\_\_\_ (copy attached), came before the Board of Directors on \_\_\_\_\_ 20\_\_\_\_, and was considered by the Directors.

The decision of the Hearing Panel and its recommendation for disciplinary action, if any, in the above-referenced case is hereby (check one):

☐ adopted verbatim ☐ adopted, but the recommendation for discipline is modified as follows:

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☐ remanded to the Hearing Panel for further consideration of the discipline recommended or if the consequences for noncompliance are not specified

Reasons for the Directors concerns with the appropriateness of the recommended sanction:

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☐ remanded to the Professional Standards Committee for a new hearing by a different Hearing Panel based on perceived procedural deficiency(ies)

☐ Article(s) confirmed \_\_\_\_\_ ☐ Article(s) dismissed \_\_\_\_\_ because the findings of fact do not support a violation of one or more Articles of the Code of Ethics

The action of the Board of Directors was adopted on \_\_\_\_\_, 20\_\_\_\_, by resolution.

For the Board of Directors:

\_\_\_\_\_, Professional Standards Administrator  
Type/Print Signature

**For use by the Board of Directors if appeal is held:**

The decision of the Hearing Panel in the matter of \_\_\_\_\_ vs. \_\_\_\_\_  
Complainant Respondent

dated \_\_\_\_\_, 20\_\_\_\_ (copy of the Hearing Panel's decision attached), was appealed by \_\_\_\_\_  
Appellant

and was considered by the Board of Directors on \_\_\_\_\_, 20\_\_\_\_.

A copy of \_\_\_\_\_'s appeal alleging \_\_\_\_\_ is attached.  
Appellant Indicate Basis(es) for Appeal

The Hearing Panel's decision and recommendation for disciplinary action, if any, in the above-referenced case is hereby (check one):

☐ adopted verbatim ☐ adopted, but the recommendation for discipline is modified as follows:

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☐ remanded to the Hearing Panel for further consideration of the discipline recommended

Reason(s) for Directors' concerns with the appropriateness of the recommended sanction:

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☐ remanded to the Professional Standards Committee for a new hearing by a different Hearing Panel based on perceived procedural deficiency(ies)

☐ Article(s) confirmed \_\_\_\_\_ ☐ Article(s) dismissed \_\_\_\_\_ because the findings of fact do not support a violation of one or more Articles of the Code of Ethics

Disposition of Appeal Deposit (if any):

☐ to be returned to Appellant

☐ to be retained by the Board

The action of the Board of Directors was adopted on \_\_\_\_\_, 20\_\_\_\_, by resolution.

For the Board of Directors:

\_\_\_\_\_, Professional Standards Administrator  
Type/Print Signature

(Revised 11/23)